

Section 7 – Existing ISA Transfer Request

Please complete an existing ISA transfer request for each ISA transfer request you are making into this Plan.

	<p>If you are transferring more than one ISA, please photocopy this form and sign a separate form for each ISA you are transferring.</p> <p>You will need to sign each transfer request. Photocopied signatures cannot be accepted. Please note that an ISA for the current tax year can only be transferred in full.</p> <p>I confirm that I wish to transfer my existing ISA</p>
Title (Mr/Mrs/Miss/Ms/Other):	
Surname:	
Full first name(s):	
Date of birth:	
National Insurance (NI) number:	
Permanent address:	
Postcode:	
Name and address of existing ISA plan manager:	
Postcode:	
Plan manager's phone number:	
Account number of the ISA:	
Amount to be transferred: (Minimum amount £10,000)	<p>Full Partial £</p>
	<p>Existing ISA plan manager instructions:</p> <p>1. I instruct the manager of the ISA shown above to give James Brearley & Sons Limited any information they may need to enable the transfer of my Plan, to sell any ISA assets and send either a BACS payment directly to the client account of James Brearley & Sons Limited, being Royal Bank of Scotland (Sort Code 16-14-12 Account Number 10491689) or a cheque made payable to James Brearley & Sons Limited for the proceeds to: Outsourced Administration Services, James Brearley & Sons Limited, PO Box 34, Unit 2 Burton Road, Blackpool, Lancashire, FY4 4WX. If there is a problem, please contact us on 01253 831 165.</p> <p>2. All dividends, interest and tax credits arising after the transfer should be made payable directly to me.</p>
Signature:	
Date:	

Section 8 – Data Protection

You authorise us to hold and process the information supplied on the application form as a data controller for the purposes of the General Data Protection Regulation (GDPR). We will hold and process information for the administration of this and any future application, for the operation of your investment, for statistical analysis and for marketing goods and services. You also authorise us to transfer information you provide on your application form (or subsequently) to IDAD. They will only use such data for purposes ancillary to their role as Plan Manager, including but not limited to hedge management, dealing with queries, fulfilling their regulatory obligations, statistical analysis and marketing on the Plan’s maturity. Your data will be used for no other purposes.

You authorise the disclosure of your information concerning your investment to a financial adviser acting on your behalf. You are entitled to request details of any of your personal data we may hold and to require us to correct any inaccuracies.

Declaration and Authority

I declare that:

1. I am 18 years of age or over and I am neither based nor living in the United States nor a U.S. Person (as defined in Regulation S under the U.S. Securities Act of 1933, as amended, or as defined in the U.S. Internal Revenue Code of 1986, as amended).
2. All subscriptions made belong to me.
3. I authorise James Brearley & Sons Limited:
 - (a) to hold my cash subscription, investments, ISA investments, interest and other rights or proceeds in respect of those investments and any cash or other proceeds;
 - (b) to make on my behalf any claims to relief from tax in respect of ISA investments.
4. I have read and understood the information contained in the brochure which refers to Counterparty Risk and understand that should the Counterparty fail to meet its obligations to pay the amount due from my Investment, I may not receive back my investment and may not be entitled to any compensation.
5. I have read and understood “Is this investment suitable for you?” and “Risks” and confirm that the terms set out within the brochure are acceptable to me as the investor.
6. I understand that market prices can go down as well as up and I may get back less than my original investment. Past performance is not a guide to future performance.
7. I understand that the extent and value of any tax advantages or benefits arising from the use of tax-advantaged services such as ISAs and SIPPs will vary according to my circumstances. The levels and bases of taxation may also change.
8. I understand that in compliance with the FCA rules, telephone calls will be recorded.
9. I understand that early encashment is likely to lead to some loss of capital.
10. I confirm that all subscriptions made, and to be made, belong to me; that I have not subscribed/made payments and will not subscribe/make payments, more than the overall subscription/payment limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year; that I am resident in the United Kingdom for tax purposes or, if not so resident, perform duties which by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas) are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform James Brearley’s if I cease to be so resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties.
11. I undertake to advise James Brearley & Sons Limited promptly of any change in circumstances which causes the information contained herein to become incorrect or incomplete and to provide James Brearley & Sons Limited with an updated declaration within 30 days of such a change in circumstances.
12. I am aware that in certain circumstances James Brearley & Sons Limited will be obliged to share this information with UK tax authorities, who may pass it on to other tax authorities.

We have read and understood the relevant Key Information Document (KID) and the Plan brochure including the Terms & Conditions and accept the terms under which the Investment will be managed. We are not prohibited under the terms of the company’s constitution from investing in this Plan. We declare that this application form has been completed to the best of our knowledge and belief. We understand that the producers of this brochure have not provided investment advice and confirm that we are making this application through a financial adviser (and have taken taxation advice if appropriate) and we wish to make this investment.

	First signature:	Joint signature: (for direct investments only)
Signature:		
Print name:		
Date:		

Section 9 – Financial Adviser Section (Financial Adviser use only)

Please ensure you have completed, signed and returned an IDAD Terms of Business.

A copy can be requested from anna.marsh@idad.com

If an IDAD Terms of Business Form has not been completed and approved, we will be unable to process any applications.

Name of adviser:		
Name of company:		
Address:		
Postcode:		
Telephone number:		
Email address:		
Are you a member of a network or directly authorised?	Please tick as appropriate. Network Directly authorised	
If you have selected network, please state which network:		
Your FCA (or equivalent) registration number:		
<p>Appropriateness (For Execution Only applications) Please confirm that you have provided a copy of this Plan’s Key Information Document (KID) and brochure and confirmed the appropriateness of this investment and that you consider this product to be appropriate for your client.</p> <p>Yes No</p>	<p>Suitability (For Advised applications only) Please confirm that you have provided a copy of this Plan’s Key Information Document (KID) and brochure and disclosed the associated risks of this Investment and that you have conducted the required suitability assessment and that you consider this product to be suitable for your client.</p> <p>Yes No</p>	<p>Verification of Identity Please be aware that we have obligations under UK Anti Money Laundering (AML) regulations and reserve the right to request further evidence of identity so as to be able to fulfil these requirements. Depending on the circumstances, where we consider we have not been able to fulfil these obligations, we may decide not to proceed with an application.</p>
Adviser Declaration:	<p>I confirm that all dealings with the investor have been carried out in accordance with the requirements of the FCA Handbook and in accordance with my obligations under IDAD/JBS’ current Terms of Business.</p> <p>I acknowledge my responsibility to evaluate all information on the Plan and confirm that where I have given advice, I have the necessary knowledge and experience to be deemed competent to assess the Plan and its suitability to an applicant’s circumstances and investment objectives.</p> <p>I declare that this application has been completed to the best of my knowledge and belief and I have agreed any adviser charge with the applicant.</p> <p>I confirm that I have carried out the appropriate identity checks on all the parties relevant to this application and have retained copies of the completed Verification of Identity Certificates and supporting documents, which I understand JBS may request at any time and may rely on.</p>	
Signature:		
Date:		



IDAD Limited
2 Rotherbrook Court
Bedford Road
Petersfield
Hampshire
GU32 3QG

Tel: +44 (0)1730 779335
Email: enquiries@idad.com
www.idad.com