

If you are an existing Augere or Reyker client, please enter your **account number** here:

If you are making this application as an attorney under a Lasting Power of Attorney (LPA), please contact us for assistance at 020 3423 6545 or via augere@reyker.com.

All fields need to be completed.

Apply online

It is much quicker to apply online: your account is instantly set up with us. Please go to augerefinancial.com and either click on the register button or on our products and then click on current offers.

Apply by post

If you are not able to apply online, please complete this form in ink and return by post to:
Augere c/o Reyker, 17 Moorgate, London, EC2R 6AR

1. Personal declaration

Please read the information below and then tick the box as confirmation. This form collects information about you that we need in order to process your investment, comply with regulations and protect you. You must be 18 or over to invest with us. We want you to be happy at Augere and Reyker, so if you are not content, please call us and we will do all we can to help. Thank you for your business and for trusting us.

If you are making an ISA investment, then signing or sending this form constitutes a declaration that you will comply with ISA rules:

- You must be 18 years old or over to invest with us
- You must specify that you are opening a stocks and shares account by making this application
- You are aware that you are investing for the current ISA tax year at the date of application
- You confirm that you will not exceed HMRC ISA limits with this account or any other account in this tax year or in any future tax year
- You will tell us immediately should you cease to be a UK resident.

We will rely on the information you give in this application, which must be provided in full please. You confirm and declare that you are being responsible, truthful and not withholding any information that may be relevant to us in accepting you as a client and that you agree with the contract that binds you and Reyker in Reyker's Terms and Conditions (T&Cs), including compliance with ISA rules, taxation rules and data protection.

- ☐ **I agree. It is mandatory to tick this box. Without it we cannot accept your application.**

When we accept your investment, you will be bound contractually through Reyker's T&Cs. You can always find them on our website augerefinancial.com and via your online account with us. Please invest responsibly, get proper independent advice if necessary, and make sure you understand what you are investing in, including the risks and potential rewards. All investments carry risk that values and returns may fall as well as rise. We provide a lot of useful risk information at augerefinancial.com.

- ☐ **I confirm I would like to receive investment newsletters and tips. You will find these relevant and helpful. We will not send you irrelevant marketing material and you can opt out at any time.**

Investor 1 Prime investor

Signed

Printed name

Date

Investor 2 Joint investor

Unfortunately, no joint account holding is possible for ISA applications. Each investor must fill in a separate form.

Signed

Printed name

Date

2. Personal details

Investor 1

Prime investor

Title

First name

Middle name(s)

Surname

Date of birth

Place of birth (town/city)

Country of birth

Permanent address

County

Postcode

Country

Phone

Email¹

National insurance number:

☐ Tick here if you do not have a national insurance number

Principal nationality

National ID*

Other nationalities

National ID*

**Only fill this in if you are not a British citizen
(Please see our website, document centre - Important information, official journal of the European Union.)*

Are you resident in the UK for tax purposes? ☐ Yes ☐ No
If you are resident in another country than the UK for tax purposes, please provide all jurisdiction (s) of residence(s) for tax purposes and tax identification number (TIN):

Country

TIN

¹ Without a valid email address we can't provide you with free statements through our online portal. Our half-yearly statements would be sent to you by post at a charge which may change throughout the lifetime of your investment. Our recommended standard tariff of fees and charges can be found on our website.

Investor 2

No joint account holding is possible for ISA applications.

Title

First name

Middle name(s)

Surname

Date of birth

Place of birth (town/city)

Country of birth

Permanent address

County

Postcode

Country

Phone

Prime investor email only

National insurance number:

☐ Tick here if you do not have a national insurance number

Principal nationality

National ID*

Other nationalities

National ID*

**Only fill this in if you are not a British citizen
(Please see our website, document centre - Important information, official journal of the European Union.)*

Are you resident in the UK for tax purposes? ☐ Yes ☐ No
If you are resident in another country than the UK for tax purposes, please provide all jurisdiction(s) of residence(s) for tax purposes and tax identification number (TIN):

Country

TIN

3. Your bank details

Account holder name

Account number

Sort code

4. Your investment details

Please provide details of the plan you are looking to invest into (this can be found on the front cover of the product brochure)

Plan number

Plan name

	New investment min. £5,000		Re-investment min. £5,000
Direct investment	£	Existing direct investment with Augere/Reyker <i>(please advise your account number with us)</i>	£
Stocks & shares 2017/2018 ISA (max. £20,000)	£		a/c
Stocks & shares 2018/2019 ISA (max. £20,000)	£	Existing ISA held by Augere/ Reyker <i>(please advise your account number with us)</i>	£
Transfer in from existing ISA Manager, other than Reyker*	£		a/c
		Total sent to Augere	£

*Please fill in additionally our transfer authority form

5. Your initial subscription (only complete if you are making a new cash subscription)

Subscription amount	£	Subscription monies should be sent to the following client monies bank account:
Bank transfer	£	Account name Reyker Securities plc client a/c re Augere Financial
Bank payments should be made with your name as reference		Account number 48711381
		Sort code 60 00 01
Cheque*	£	Reference Please insert your name

*Please make cheques payable to 'Reyker Securities plc Client A/C re Augere Financial Products'. Cheques require 8 clear working days to be treated as cleared. Bank transfers are faster and safer.

6. Your investment advice and management

Have you received a personal recommendation from an adviser to invest in this product? ☐ Yes ☐ No

If **yes**, please ask your adviser to complete the relevant part of this application form.

If **no**, any potential investment will be subject to you passing Reyker's appropriateness test.

We will be in touch with you shortly. In the meantime if you have any further questions please contact our client services team on **020 7397 2586** or email us at **augere@reyker.com**.

7. Financial adviser fees and declaration (only fill in if applicable)

This part of the form is for your financial advisor to fill in.

Financial adviser charging

We will facilitate payment to your financial adviser of this charge.

Investment amount £

Financial adviser charge £

Total sent to Augere £

Bank details

Account name Sort code

Account number Bank or Building Society name

Financial adviser declaration

Are you directly authorised? ☐ Yes ☐ No

Are you an appointed representative? ☐ Yes ☐ No

Please state the name of your network

Full name

Email

Phone

Company

FCA number

Contact number

Address

Street address

Town City

County Postcode

By signing this application, I declare that:

Where I have provided the applicant with investment advice in relation to this investment I confirm that I have assessed the suitability of this product in relation to the applicant's circumstances and investment objects. Where conducting business on an execution only basis I have found the investment to be appropriate for the applicant.

I agree to the Intermediary Terms of Business (as made available in the 'Information' section of Augere's website) and have carried out all necessary Customer Due Diligence and suitability checks on the applicant.

Where I have completed the declaration, I have agreed with the investor that Reyker can facilitate the payment to me of the adviser charge set out above.

I agree that neither Augere nor Reyker will be liable to me in respect of any adviser charges owed to me by the investor including, without limitation, where the investor asks Augere or Reyker not to pay an adviser charge to me.

Adviser signature

Adviser printed name

Date