

# Structured Product ISA Transfer Form



This completed application should be completed in full and returned to **Reyker, 17 Moorgate, London, EC2R 6AR**

## 1. DETAILS OF INVESTOR

Do you have a Reyker account number? (enter here)

|                                                        |     |                  |                                                                     |
|--------------------------------------------------------|-----|------------------|---------------------------------------------------------------------|
| Title                                                  |     | Telephone        |                                                                     |
| Surname                                                |     | Email address    |                                                                     |
| First names                                            |     | National Ins No. |                                                                     |
| Date of birth                                          |     | Country of birth |                                                                     |
| Permanent address                                      |     |                  |                                                                     |
| Are you a US citizen?                                  | Yes | No               | *If yes, please provide details of country(ies) and Tax references: |
| Are you resident in the UK for tax purposes?           | Yes | No               |                                                                     |
| Are you resident in another country for tax purposes?* | Yes | No               |                                                                     |

## 2. INVESTMENT DETAILS

I hereby instruct you to sell investments within my NISA immediately and transfer the cash proceeds, together with any interest, dividends, rights and any other cash within my NISA (less any amount you are entitled to keep under the terms of the NISA) to Reyker Securities plc, 17 Moorgate, London, EC2R 6AR. Electronic payments should be sent to Sort code: 60-00-01; Account number: 40501833; Account name: Reyker Securities plc; quoting the client name. Please transfer my NISA once instructions have been received from Reyker to do so. After transfer, all dividends and tax credits due should be made payable directly to me.

|                          |                               |                                  |      |
|--------------------------|-------------------------------|----------------------------------|------|
| Name of NISA provider    |                               | Transfer value                   | £    |
| Address of NISA provider |                               | NISA account number              |      |
|                          |                               | Sort code (if applicable)        |      |
|                          |                               | Signed                           |      |
| Type of transfer         | Full <input type="checkbox"/> | Partial <input type="checkbox"/> | Date |

Please provide details of the plan you are looking to invest into, this can be found on the front cover of the product brochure.

|             |  |           |  |
|-------------|--|-----------|--|
| Plan Number |  | Plan Name |  |
|-------------|--|-----------|--|

## 3. BANK DETAILS

|                     |  |                             |  |
|---------------------|--|-----------------------------|--|
| Account holder name |  | Account number              |  |
| Sort code           |  | Roll number (if applicable) |  |

## 4. FINANCIAL ADVICE

|                                                                                        |     |    |
|----------------------------------------------------------------------------------------|-----|----|
| Have you received a personal recommendation from an adviser to invest in this product? | Yes | No |
|----------------------------------------------------------------------------------------|-----|----|

If "Yes" please have your adviser complete the relevant part of this application form. If "No", we will be in contact to discuss your investment options further as we prefer all of our clients to receive advice before investing.

## 5. ADVISER CHARGING

We are able to facilitate a payment to your adviser in relation to advice received. If you wish to make a payment to your adviser please state the amount you wish to pay. This amount will be deducted from the total we receive from your NISA provider follow the completion of your transfer.

Amount payable:

£ \_\_\_\_\_

## 6. INVESTOR DECLARATION & AUTHORITY

**Applicable to all ISA applicants, I declare that:**

All subscriptions made belong to me; and I am over the age of 18  
I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Reyker Securities plc if I cease to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with a person who performs such duties.

I authorise Reyker Securities plc to make on my behalf any claims to relief from tax in respect of ISA investments; and if I am transferring an existing ISA, to invest my cash subscriptions on the first available date after receipt of all cleared funds from my existing custodian as specified but in any event no later than the start date.

I have read and understand the brochure, risk factors on our website and the Terms & Conditions of Business (which can be found on our website under the 'DocumentCentre', [www.reyker.com/document-centre/home](http://www.reyker.com/document-centre/home)) and agree to be bound as a party to the Terms & Conditions of Business.

[ This section has been intentionally left blank ]

#### Risk warnings

Early encashment may lead to some loss of capital. Investors should be aware that the value of the product and the underlying securities can fall as well as rise and that past performance is not an indication of future performance. The extent and value of any tax advantages or benefits arising from the use of tax-advantaged services such as ISAs will vary according to the individual's circumstances.

Please tick the box if you do not want to receive any information regarding offers or promotions from Reyker. (If you have signed up for online statements, we will still have to contact you via email regarding your online account).

I wish to opt for my half-yearly statements to be sent by post at a cost of £10 per statement. **Please note that you will have been deemed to have opted for this**

**if you do not supply us with a valid e-mail address in Section 1. Charges may change throughout the lifetime of the plan and the latest charges can be found in our latest Terms and Conditions of Business.**

I declare that this application form has been completed to the best of my knowledge and belief.

|                    |  |
|--------------------|--|
| Investor signature |  |
| Date               |  |

## 7. FOR FINANCIAL ADVISER COMPLETION

Where I have provided the applicant with investment advice in relation to this investment I confirm that I have assessed the suitability of this product in relation to the applicants circumstances and investment objects or where conducting business on an execution only basis have found the investment to be appropriate for the applicant. I agree to the Intermediary Terms of Business (as made available on the document centre of Reyker's website) and have carried out all Customer Due Diligence and suitability checks on the applicant in accordance with the Intermediary Terms of Business.

|                 |  |
|-----------------|--|
| Adviser name    |  |
| Firm name       |  |
| Telephone       |  |
| Email           |  |
| Company address |  |
| Postcode        |  |
| FCA number      |  |

|                             |  |
|-----------------------------|--|
| Account holder name         |  |
| Account number              |  |
| Sort code                   |  |
| Roll number (if applicable) |  |

|                   |  |
|-------------------|--|
| Adviser signature |  |
| Date              |  |